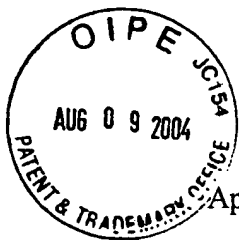


IPW

A36200-PCT-USA-A (066123.0126)

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



Applicants : Chaudhari et al. Customer No.: 21003
Serial No. : 10/808,965 Examiner: Not Yet Assigned
Filed : March 25, 2004 Group Art Unit: 1625
For : A PROCESS FOR THE PREPARATION OF 2-HYDROXY
CARBOXYLIC ACIDS

INFORMATION DISCLOSURE STATEMENT

I hereby certify that this paper is being deposited with the
United States Postal Service as first class mail in an envelope
addressed to: Commissioner for Patents, P.O. Box 1450,
Alexandria, VA 22313-1450.

August 5, 2004

Date

Rochelle K. Seide

Attorney Name

Rochelle K. Seide

Signature

32,300

PTO Reg. No

August 5, 2004

Date of Signature

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. §§1.97 and 1.98, applicants respectfully request that the
documents listed below and on the accompanying PTO 1449 be considered by the
Examiner and made of record in the above-referenced application. Copies of the listed
documents are enclosed.

1. Kudo et al. (1996) "Hydrocarbonylation of Enol Esters Catalyzed by a Palladium(II)
Complex", Bull. Chem. Soc. Jpn., 69: 1337-1345.

2. European Patent Application No. EP 0 144 188, published June 12, 1985.
3. U.S. Patent No. 4,377,708 to Morris, issued March 22, 1983.
4. U.S. Patent No. 4,257,973 to Mrowca, issued March 24, 1981.
5. U.S. Patent No. 4,072,709 to Tinker, issued February 7, 1978.
6. Swiss Patent No. 589 571, issued July 15, 1977.
7. U.S. Patent No. 3,857,319 to Welch, issued December 31, 1974.
8. U.S. Patent No. 3,437,676 to von Kutepow et al., issued April 8, 1969.
9. German Patent No. DE 1 221 224, issued July 21, 1966.
10. U.S. Patent No. 3,148,200 to Mills et al., issued September 8, 1964.

Enclosed are U.S. Patent Nos. 3,437,676 and 3,148,200, which are English language equivalents to cited foreign language reference, German Patent No. DE 1 221 224 (Ref.#9). There is currently no readily available English language translation for foreign language reference, Swiss Patent No. 589 571 (Ref.#6).

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that the listed documents are material or constitute "prior art." If the Examiner applies the documents as prior art against any claim in the application and applicants determine that the cited documents do not constitute "prior art" under United States law, applicants reserve the right to present to the Office the relevant facts and law regarding the appropriate status of the documents.

Applicants further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should the documents be applied against the claims of the present application.

Applicants do not believe that any fee is due in connection with the submission of this paper. However, if any fee is due, or if any overpayment has been made, the Commissioner is authorized to charge any such fee or credit any overpayment, to our Deposit Account No. 02-4377. Duplicate copies of this sheet are enclosed.

Respectfully submitted,

BAKER BOTTS LLP

A handwritten signature in cursive script, reading "Rochelle K Seide", is written over a horizontal line.

Rochelle K. Seide
Patent Office Reg. No. 32,300

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Attorneys for Applicants
212-408-2500

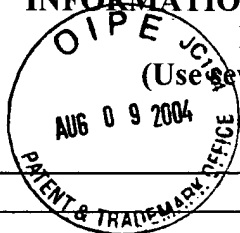
Form PTO-1449 U.S. Department of Commerce
(REV. 2-82) Patent and Trademark Office

Atty. Docket No.
A36200-PCT-USA-A
(066123.0126)

Serial No.
10/808,965

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use several sheets if necessary)



Applicants
Chaudhari et al.

Filing Date
March 25, 2004

Group
1625

U.S. PATENT DOCUMENTS

| *Exam. Init. | Document No. | Date | Name | Class | Subclass | Filing Date if Appropriate |
|-----------------|---------------|----------|--------------------|-------|----------|-------------------------------|
| | 4 3 7 7 0 8 | 03/22/83 | Morris | 560 | 266 | |
| | 4 2 5 7 9 7 3 | 03/24/81 | Mrowca | 260 | 410.9 | |
| | 4 0 7 2 7 0 9 | 02/07/78 | Tinker | 260 | 535 | |
| | 3 8 5 7 3 1 9 | 12/31/74 | Welch | 86 | 23 | |
| | 3 4 3 7 6 7 6 | 04/08/69 | von Kutepow et al. | 260 | 468 | |
| | 3 1 4 8 2 0 0 | 09/08/64 | Mills et al. | 260 | 410.6 | |
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FOREIGN PATENT DOCUMENT

| Document No. | Date | Name | Class | SubClass | Translator Yes No |
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| 0 1 4 4 1 8 8 | 06/12/85 | EP | | | |
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| 1 2 2 1 2 2 4 | 07/21/66 | DE | | | |

OTHER DOCUMENTS (including Author, Title Date, Pertinent Pages, Etc.)

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|--|---|
| | Kudo et al. (1996) "Hydrocarbonylation of Enol Esters Catalyzed by a Palladium(II) Complex", Bull. Chem. Soc. Jpn., 69: 1337-1345. |
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Examiner

Date Considered

* Examiner: Initial citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.